

# OANDA Corporation

## Entity account application

Please ensure all the documentation listed below is provided when this application is submitted, and depending upon circumstances additional documentation may be requested.

Document Required	Document(s) Provided	
	Yes	No
Completed OANDA Entity Application Form	<input type="checkbox"/>	<input type="checkbox"/>
Entity Certification	<input type="checkbox"/>	<input type="checkbox"/>
Certificate of Incorporation	<input type="checkbox"/>	<input type="checkbox"/>
Business Address Confirmation	<input type="checkbox"/>	<input type="checkbox"/>
Memorandum and Articles of Association *if applicable	<input type="checkbox"/>	<input type="checkbox"/>
Share Certificate or Register *If applicable	<input type="checkbox"/>	<input type="checkbox"/>
Identification documents All beneficial owners (25% or greater stake) (Photo Identification, Address Confirmation, Social Security Number)	<input type="checkbox"/>	<input type="checkbox"/>
Identification documents At least 2 Directors/Control Persons (Photo Identification, Address Confirmation, Social Security Number)	<input type="checkbox"/>	<input type="checkbox"/>

I consent to the provision of the following documents by way of the OANDA Website:

[RISK DISCLOSURE](#)  
[CUSTOMER AGREEMENT](#)  
[DISCLAIMER](#)  
[PRIVACY POLICY](#)

I hereby confirm that I have read, understood and that the company agrees to be bound by the terms of these documents.

Control Person: \_\_\_\_\_

Signature: \_\_\_\_\_

# ENTITY CERTIFICATION

Authorizing fxTrade Account Opening and Accepting fxTrade Customer Agreement.

This Certification is to be completed by an authorized signing officer or director of the Entity named below.

Name of Entity: \_\_\_\_\_ (“Entity”)

I, \_\_\_\_\_ (Entity Officer/Director), hereby certify that:

Entity authorizes the following individual (“Representative”) to apply for, open and maintain one or more fxTrade accounts at OANDA Corporation (“OANDA”) on behalf of and in the name of Entity and to execute and deliver the fxTrade Account Application and the fxTrade Customer Agreement, including all applicable addenda and all related documents, as the same may be amended from time to time:

**[Note: A copy of valid government issued photo identification is required for Representative.]**

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Email: \_\_\_\_\_

Signature: \_\_\_\_\_

In consideration of OANDA opening one or more fxTrade accounts for and on behalf of Entity, Entity hereby agrees that:

- i. OANDA may rely and act on any written, oral or electronic instructions, orders or directions (collectively “Instructions”) given to it by Representative or any individual who appears to represent Entity, whether or not they are authorized to do so, unless OANDA has actual knowledge of the individual’s lack of authority. Such Instructions may include instructions to deposit with, transfer from and withdraw from, Entity’s fxTrade account(s), and to trade in, purchase and sell (including sell short) foreign exchange or precious metal contracts, on margin or otherwise using the OANDAfxTrade platform (collectively “Transactions”);
- ii. OANDA shall have no responsibility for further inquiry into Representative’s or such other individuals’ apparent authority to provide Instructions or enter into Transactions on behalf of Entity, and OANDA shall bare no liability for the consequences of its acts or omissions made in reliance upon any such Instruction or the execution of any Transaction; and
- iii. the terms and conditions of the fxTrade Customer Agreement, including all applicable addenda and all related documents, as the same may be amended from time to time, shall be binding upon OANDA and Entity in respect of all fxTrade accounts opened on Entity’s behalf pursuant to this Certification and all Transactions entered into in such accounts.

Date: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Signature: \_\_\_\_\_

## COMPANY INFORMATION

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Full Legal Company Name: \_\_\_\_\_  
\_\_\_\_\_

Entity Type: Corporation  Partnership  Trust  Fund

Other (please state entity type) \_\_\_\_\_

Registered Company Number: \_\_\_\_\_  
(eg: 123456, AB123456)

Date of Incorporation: \_\_\_\_\_

Country of Incorporation: \_\_\_\_\_

Registered Company Address: \_\_\_\_\_  
\_\_\_\_\_

Country \_\_\_\_\_ Postal/Zip Code \_\_\_\_\_

Trading Company Address: \_\_\_\_\_  
\_\_\_\_\_

Country \_\_\_\_\_ Postal/Zip Code \_\_\_\_\_

Postal Company Address: \_\_\_\_\_  
\_\_\_\_\_

Country \_\_\_\_\_ Postal/Zip Code \_\_\_\_\_

Employer Identification Number (EIN): \_\_\_\_\_

Legal Entity Identifier (LEI), if applicable: \_\_\_\_\_

All counterparties to a derivative contract must report details of that derivative contract to a trade repository. Your EIN Employer Identification Number (EIN) number is your company's unique 20-character code which you will use to report all financial transactions entered into by your company.

Regulatory Status: Unregulated  Regulated  Name of the Regulator \_\_\_\_\_

Listed on an Exchange: No  Yes  Name of the Exchange \_\_\_\_\_

Company Website Address: \_\_\_\_\_

Company Contact Tele Number: \_\_\_\_\_

Company Contact Email Address: \_\_\_\_\_

## BUSINESS INFORMATION

Nature of business? \_\_\_\_\_

Industry sector? (eg: Financial Services, Legal, Accountancy, Insurance, Consumer Goods, Marketing, IT, Transport, Engineering, Export/ Import, Manufacturing, Property/ Construction, Education, Transport, Pharmaceuticals, Casino, etc.)

What is the purpose of the account? (eg: hedging, investing client money, etc) \_\_\_\_\_

Do you operate a commodity pool or pooled investment vehicle?

Yes  No

Will any other individual or entity have beneficial interest in this account?

Yes  No

Are any of the company's shares publicly traded?

Yes  No

Is any shareholder a publicly listed company?

Yes  No

Are you, your spouse, or any relative in the same household, an employee, principal, owner of over 10% equity interest, or associated person of a retail forex counter-party?

Yes  No

Primary Contact Full Name: \_\_\_\_\_

Primary Contact Position: \_\_\_\_\_

Primary Contact Email Address: \_\_\_\_\_

Primary Contact Telephone Number: \_\_\_\_\_

Is the Primary Contact the person who will be trading on this account? Yes  No

If no, please state the primary trader on this account \_\_\_\_\_

### Please provide your trading experience on the following investment products:

Stocks:	None <input type="checkbox"/>	1-5 years <input type="checkbox"/>	6-10 years <input type="checkbox"/>	> 10 years <input type="checkbox"/>
Options:	None <input type="checkbox"/>	1-5 years <input type="checkbox"/>	6-10 years <input type="checkbox"/>	> 10 years <input type="checkbox"/>
Futures:	None <input type="checkbox"/>	1-5 years <input type="checkbox"/>	6-10 years <input type="checkbox"/>	> 10 years <input type="checkbox"/>
Forex:	None <input type="checkbox"/>	1-5 years <input type="checkbox"/>	6-10 years <input type="checkbox"/>	> 10 years <input type="checkbox"/>

### Please provide your knowledge level on the following investment products:

Stocks:	None <input type="checkbox"/>	Good <input type="checkbox"/>	Extensive <input type="checkbox"/>
Options:	None <input type="checkbox"/>	Good <input type="checkbox"/>	Extensive <input type="checkbox"/>
Futures:	None <input type="checkbox"/>	Good <input type="checkbox"/>	Extensive <input type="checkbox"/>
Forex:	None <input type="checkbox"/>	Good <input type="checkbox"/>	Extensive <input type="checkbox"/>

## BUSINESS INFORMATION

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Have you ever traded any of the above products more than 50 times? Yes  No

Do you have any qualifications that will assist you in understanding OANDA's services, eg: professional degree? Yes  No

## FINANCIAL INFORMATION

What is the estimated annual revenue for the entity in USD?

0- 5,000  5,000-15,000  15,000-25,000  25,000-50,000  50,000-100,000  100,000-250,000   
 250,000-750,000  750,000-1 Million  1-2 Million  More than 2 Million

What is the estimated net worth of the entity in USD? (total assets less total liabilities)

0- 5,000  5,000-15,000  15,000-25,000  25,000-50,000  50,000-100,000  100,000-250,000   
 250,000-750,000  750,000-1 Million  1-2 Million  More than 2 Million

What is the estimated liquid net worth of the entity in USD?

0- 5,000\$  5,000\$-15,000\$  15,000\$-25,000\$  25,000\$-50,000\$  50,000\$-100,000\$   
 100,000\$-250,000\$  250,000\$-750,000\$  750,000\$-1 Million\$  1-2 Million\$  More than 2 Million\$

What is the source of funds? (please tick the most relevant answer)

Commercial Activities  Third party funds  Investment held at another brokerage  Other

## CORPORATE STRUCTURE INFORMATION

Please provide details on all beneficial owners with >25% ownership, all directors, and all authorized traders.  
**An individual must be specified under each category, but they may all be the same individual**

Shareholders/Beneficial Owners (please list everyone with a holding of 25% or above)				
Name	Nationality	Address	Date of Birth (DD/MM/YYYY)	SSN

Have any of the above beneficial owners declared bankruptcy? Yes  No  If Yes, when was the bankruptcy discharged? \_\_\_\_\_

Directors/Control Persons (please list all directors/control persons below)				
Name	Nationality	Address	Date of Birth (DD/MM/YYYY)	SSN

Have any of the above beneficial owners declared bankruptcy? Yes  No  If Yes, when was the bankruptcy discharged?  
 \_\_\_\_\_

Authorized Traders (please list all authorized traders below)				
Name	Nationality	Address	Date of Birth (DD/MM/YYYY)	SSN